

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Dong et al.

Serial No:

09/904,039

Filing Date:

July 12, 2001

Title:

Complexity Management and

Analysis of Genomic DNA

Examiner:

Y. Kim

Group Art Unit: 1637

INFORMATION DISCLOSURE

STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for consideration in connection with the above-identified patent application.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made; or (3) an admission that the information cited herein is, or is considered to be, material to patentability in any way, including that as defined in § 1.56(b).

Applicants believe that <u>no fee is required</u> for submission of this statement.

However, the Commissioner is hereby authorized to charge the required fees to Account No. 01-0431 in order to have this Information Disclosure Statement considered.

Dated:

Respectfully submitted,

Reg. No. 52,349

Customer No. 22886 Legal Department Affymetrix, Inc. 3380 Central Expressway Santa Clara, CA 95051

Tel: (408) 731-5000/Fax (408) 731-5392

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PTO/SB/08a (08-03)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. bstitute for form 1449A/PTO Complete if Known 09/904,039 Application Number INFORMATION DISCLOSURE Filing Date July 12, 2001 STATEMENT BY APPLICANT First Named Inventor Dong et al. Art Unit 1637 (Use as many sheets as necessary) Y. Kim Examiner Name Sheet of 2 3218.2A Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials *		Number - Kind Code ² (if known)		Cited Document	Passages or Relevant Figures Appear	
·		US- 6,103,463	08-15-00	Chetverin et al.		
		US- 6,306,643 B1	10-23-01	Gentalen et al.		
		US- 6,368,799 B1	04-09-02	Chee		
		US- 6,509,160 B1	01-21-03	Sapolsky et al.		
_		US- 6,703,228 B1	03-09-04	Landers et al.		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
		Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Date MM-DD-YYYY				
-		WO 98/20165	11-05-97				
		WO 98/41657	03-19-98				
		EP 1 001 037 A2	09-27-99				
	<u> </u>						
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/904,039 Filing Date July 12, 2001 First Named Inventor Dong et al. Art Unit 1637 Y. Kim Examiner Name

(Use as many sheets as necessary)

2 2 of 3218.2A Sheet Attorney Docket Number

Cite No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
·	MICHAEL T. BARRETT et al., "Genotypic Analysis of Multiple Loci in Somatic Cells By Whole Genome Amplification", Nucleic Acids Research, (1995) Vol. 23, No.17, pp. 3488-3492		
	ROBERT LUCITO et al.; "Genetic Analysis Using Genomic Representations", Proc. National Academy of Sciences, USA, (April 1998) Vol. 95, pp. 4487-4492		
-			
	Date	-	
		No. 1 number(s), publisher, city and/or country where published. MICHAEL T. BARRETT et al., "Genotypic Analysis of Multiple Loci in Somatic Cells By Whole Genome Amplification", Nucleic Acids Research, (1995) Vol. 23, No.17, pp. 3488-3492 ROBERT LUCITO et al.; "Genetic Analysis Using Genomic Representations", Proc. National Academy of Sciences, USA, (April 1998) Vol. 95, pp. 4487-4492	

NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of

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